

SPECTRA OF RINGS
DIFFERENTIALLY FINITELY GENERATED
OVER A SUBRING

DM. TRUSHIN

Department of Mechanics and Mathematics
Moscow State University

15 APRIL 2007

Ring = an associative, a commutative ring with an identity element

- (A, Δ) is a differential ring, where $\Delta = \{\partial_1, \dots, \partial_n\}$, $[\partial_i, \partial_j] = 0$.

Ring = an associative, a commutative ring with an identity element

- (A, Δ) is a differential ring, where $\Delta = \{\partial_1, \dots, \partial_n\}$, $[\partial_i, \partial_j] = 0$.
- The main objects are the **Ritt** and **Keigher** algebras.

Ring = an associative, a commutative ring with an identity element

- (A, Δ) is a differential ring, where $\Delta = \{\partial_1, \dots, \partial_n\}$, $[\partial_i, \partial_j] = 0$.
- The main objects are the **Ritt** and **Keigher** algebras.
- $\Delta =$ differential.
- B Δ -FG over $A = B$ is differentially finitely generated over A .

Ring = an associative, a commutative ring with an identity element

- (A, Δ) is a differential ring, where $\Delta = \{\partial_1, \dots, \partial_n\}$, $[\partial_i, \partial_j] = 0$.
- The main objects are the **Ritt** and **Keigher** algebras.
- $\Delta =$ **differential**.
- B Δ -FG over $A = B$ is **differentially finitely generated over A** .
- $\text{Spec } A$ ($\text{Max } A$) is the prime (maximal) spectrum of A .
- $\text{Spec}^\Delta A$ ($\text{Max}^\Delta A$) is prime (maximal) Δ -spectrum of A .

Ring = an associative, a commutative ring with an identity element

- (A, Δ) is a differential ring, where $\Delta = \{\partial_1, \dots, \partial_n\}$, $[\partial_i, \partial_j] = 0$.
- The main objects are the **Ritt** and **Keigher** algebras.
- $\Delta =$ **differential**.
- B Δ -FG over $A =$ **B is differentially finitely generated over A .**
- $\text{Spec } A$ ($\text{Max } A$) is the prime (maximal) spectrum of A .
- $\text{Spec}^\Delta A$ ($\text{Max}^\Delta A$) is prime (maximal) Δ -spectrum of A .
- The **localization** by $\{s^n\}_{n=0}^\infty$ is denoted by A_s .

Ring = an associative, a commutative ring with an identity element

- (A, Δ) is a differential ring, where $\Delta = \{\partial_1, \dots, \partial_n\}$, $[\partial_i, \partial_j] = 0$.
- The main objects are the **Ritt** and **Keigher** algebras.
- $\Delta =$ **differential**.
- B Δ -FG over $A =$ **B is differentially finitely generated over A .**
- $\text{Spec } A$ ($\text{Max } A$) is the prime (maximal) spectrum of A .
- $\text{Spec}^\Delta A$ ($\text{Max}^\Delta A$) is prime (maximal) Δ -spectrum of A .
- The **localization** by $\{s^n\}_{n=0}^\infty$ is denoted by A_s .

Let $f: A \rightarrow B$ be a homomorphism.

- A **contraction** of ideal is denoted by $\mathfrak{b}^c = f^*(\mathfrak{b})$.
- An **extension** of ideal is denoted by \mathfrak{a}^e .

Relation between prime spectrum and prime Δ -spectrum

Let $f: A \rightarrow B$ be a Δ -homomorphism of Keigher algebras.

Let

- $f^*: \text{Spec } B \rightarrow \text{Spec } A$;
- $f_{\Delta}^*: \text{Spec}^{\Delta} B \rightarrow \text{Spec}^{\Delta} A$.

Relation between prime spectrum and prime Δ -spectrum

Let $f: A \rightarrow B$ be a Δ -homomorphism of Keigher algebras.

Let

- $f^*: \text{Spec } B \rightarrow \text{Spec } A$;
- $f_{\Delta}^*: \text{Spec}^{\Delta} B \rightarrow \text{Spec}^{\Delta} A$.

Lemma (fibre lemma)

Let $\mathfrak{p} \in \text{Spec}^{\Delta} A$. Then $(f_{\Delta}^*)^{-1}(\mathfrak{p}) \neq \emptyset \iff (f^*)^{-1}(\mathfrak{p}) \neq \emptyset$.

Relation between prime spectrum and prime Δ -spectrum

Let $f: A \rightarrow B$ be a Δ -homomorphism of Keigher algebras.

Let

- $f^*: \text{Spec } B \rightarrow \text{Spec } A$;
- $f_{\Delta}^*: \text{Spec}^{\Delta} B \rightarrow \text{Spec}^{\Delta} A$.

Lemma (fibre lemma)

Let $\mathfrak{p} \in \text{Spec}^{\Delta} A$. Then $(f_{\Delta}^*)^{-1}(\mathfrak{p}) \neq \emptyset \iff (f^*)^{-1}(\mathfrak{p}) \neq \emptyset$.

Corollary (on Δ -surjectivity)

f^* is surjective $\implies f_{\Delta}^*$ is surjective.

Technical definitions

Let $f: A \rightarrow B$ be a homomorphism.

Definition

f has the **going-up property** (GUP), if for any

$$\mathfrak{p}_1 \subseteq \dots \subseteq \mathfrak{p}_m \subseteq \mathfrak{p}_{m+1} \subseteq \dots \subseteq \mathfrak{p}_n$$

$$\mathfrak{p}_i \in \text{Spec } f(A)$$

$$\mathfrak{q}_1 \subseteq \dots \subseteq \mathfrak{q}_m$$

$$\mathfrak{q}_i \in \text{Spec } B$$

$$\mathfrak{q}_i \cap f(A) = \mathfrak{p}_i$$

there exists

$$\mathfrak{q}_1 \subseteq \dots \subseteq \mathfrak{q}_m \subseteq \mathfrak{q}_{m+1} \subseteq \dots \subseteq \mathfrak{q}_n$$

$$\mathfrak{q}_i \cap f(A) = \mathfrak{p}_i$$

Technical definitions

Let $f: A \rightarrow B$ be a homomorphism.

Definition

f has the **going-down property** (GDP), if for any

$$\mathfrak{p}_1 \supseteq \dots \supseteq \mathfrak{p}_m \supseteq \mathfrak{p}_{m+1} \supseteq \dots \supseteq \mathfrak{p}_n$$

$$\mathfrak{p}_i \in \text{Spec } f(A)$$

$$\mathfrak{q}_1 \supseteq \dots \supseteq \mathfrak{q}_m$$

$$\mathfrak{q}_i \in \text{Spec } B$$

$$\mathfrak{q}_i \cap f(A) = \mathfrak{p}_i$$

there exists

$$\mathfrak{q}_1 \supseteq \dots \supseteq \mathfrak{q}_m \supseteq \mathfrak{q}_{m+1} \supseteq \dots \supseteq \mathfrak{q}_n$$

$$\mathfrak{q}_i \cap f(A) = \mathfrak{p}_i$$

Pairs of properties

Let $f: A \rightarrow B$ be a Δ -homomorphism of Keigher algebras.

Pairs of properties

Let $f: A \rightarrow B$ be a Δ -homomorphism of Keigher algebras.
Consider pairs of properties $A1$ and $A2$ such that

- $A1$ characterizes f as a homomorphism,
- $A2$ characterizes f as a Δ -homomorphism

and $A1 \Rightarrow A2$.

Pairs of properties

Let $f: A \rightarrow B$ be a Δ -homomorphism of Keigher algebras. Consider pairs of properties $A1$ and $A2$ such that

- $A1$ characterizes f as a homomorphism,
- $A2$ characterizes f as a Δ -homomorphism

and $A1 \Rightarrow A2$.

Lemma

- $(f^*)^{-1}(\mathfrak{p}) \neq \emptyset \iff (f_{\Delta}^*)^{-1}(\mathfrak{p}) \neq \emptyset$, where $\mathfrak{p} \in \text{Spec}^{\Delta} A$.
- f^* surjective $\implies f_{\Delta}^*$ surjective.
- f has GUP $\implies f$ has GUP for Δ -ideals.
- f has GDP $\implies f$ has GDP for Δ -ideals.

Application of pairs of properties

Let's generalize well-known "going-up" and "going-down" Cohen-Seidenberg theorems for Keigher algebras.

Application of pairs of properties

Let's generalize well-known "going-up" and "going-down" Cohen-Seidenberg theorems for Keigher algebras.

Theorem ("Going-up theorem")

Let $f: A \rightarrow B$ be a Δ -homomorphism of Keigher algebras and B be integral over A .

Then f has GUP for Δ -ideals.

Application of pairs of properties

Let's generalize well-known "going-up" and "going-down" Cohen-Seidenberg theorems for Keigher algebras.

Theorem ("Going-up theorem")

Let $f: A \rightarrow B$ be a Δ -homomorphism of Keigher algebras and B be integral over A .

Then f has GUP for Δ -ideals.

Theorem ("Going-down theorem")

Let $f: A \rightarrow B$ be a Δ -homomorphism of Keigher algebras. Let also

- 1 B be integral domain;
- 2 $f(A)$ be integrally closed;
- 3 B be integral over A .

Then f has GDP for Δ -ideals.

Lemma

Let $A \subseteq B$ be Ritt algebras. Let

- 1 $B = A\{x_1, \dots, x_n\}$ be Δ -FG over A
- 2 B be integral domain

Then $\exists s \in B$ such that $B_s = A[x_1, \dots, x_n][y_\alpha]_{\alpha \in \Lambda}$, where $\{y_\alpha\}$ are algebraic independent over $A[x_1, \dots, x_n]$ and $\text{card } \Lambda \leq \text{card } \mathbb{N}$.

In case of characteristic zero

Lemma

Let $A \subseteq B$ be Ritt algebras. Let

- 1 $B = A\{x_1, \dots, x_n\}$ be Δ -FG over A
- 2 B be integral domain

Then $\exists s \in B$ such that $B_s = A[x_1, \dots, x_n][y_\alpha]_{\alpha \in \Lambda}$, where $\{y_\alpha\}$ are algebraic independent over $A[x_1, \dots, x_n]$ and $\text{card } \Lambda \leq \text{card } \mathbb{N}$.

Theorem (main theorem)

Let $A \subseteq B$ be Ritt algebras. Let also

- 1 $B = A\{x_1, \dots, x_n\}$ be Δ -FG over A ;
- 2 B be integral domain.

Then $\exists s \in A$ such that map $\text{Spec}^\Delta B_s \rightarrow \text{Spec}^\Delta A_s$ is surjective.

Corollary of main theorem

Let B be Δ -ring. Let also

- B be Δ -FG over a Δ -field \mathbb{K} of characteristic zero;
- B be a simple Δ -ring.

Corollary of main theorem

Let B be Δ -ring. Let also

- B be Δ -FG over a Δ -field \mathbb{K} of characteristic zero;
- B be a simple Δ -ring.

Corollary

Let $A \subseteq B$ be Δ -subalgebra over \mathbb{K} . Then $\exists s \in A$ such that A_s is a simple Δ -algebra.

Corollary of main theorem

Let B be Δ -ring. Let also

- B be Δ -FG over a Δ -field \mathbb{K} of characteristic zero;
- B be a simple Δ -ring.

Corollary

Let $A \subseteq B$ be Δ -subalgebra over \mathbb{K} . Then $\exists s \in A$ such that A_s is a simple Δ -algebra.

Let's apply this corollary to the case $A = \mathbb{K}\{y\}$, where $y \in B$.

Corollary of main theorem

Let B be Δ -ring. Let also

- B be Δ -FG over a Δ -field \mathbb{K} of characteristic zero;
- B be a simple Δ -ring.

Corollary

Let $A \subseteq B$ be Δ -subalgebra over \mathbb{K} . Then $\exists s \in A$ such that A_s is a simple Δ -algebra.

Let's apply this corollary to the case $A = \mathbb{K}\{y\}$, where $y \in B$.

Corollary

Any $y \in B$ is Δ -algebraically depended over \mathbb{K} .

Corollary of main theorem

Let B be Δ -ring. Let also

- B be Δ -FG over a Δ -field \mathbb{K} of characteristic zero;
- B be a simple Δ -ring.

Corollary

Let $A \subseteq B$ be Δ -subalgebra over \mathbb{K} . Then $\exists s \in A$ such that A_s is a simple Δ -algebra.

Let's apply this corollary to the case $A = \mathbb{K}\{y\}$, where $y \in B$.

Corollary

Any $y \in B$ is Δ -algebraically depended over \mathbb{K} .

Let \mathbb{F} be a field of fractions of B , and $C_{\mathbb{K}}$, C_B , $C_{\mathbb{F}}$ be constant rings, resp.

Corollary of main theorem

Let B be Δ -ring. Let also

- B be Δ -FG over a Δ -field \mathbb{K} of characteristic zero;
- B be a simple Δ -ring.

Corollary

Let $A \subseteq B$ be Δ -subalgebra over \mathbb{K} . Then $\exists s \in A$ such that A_s is a simple Δ -algebra.

Let's apply this corollary to the case $A = \mathbb{K}\{y\}$, where $y \in B$.

Corollary

Any $y \in B$ is Δ -algebraically depended over \mathbb{K} .

Let \mathbb{F} be a field of fractions of B , and $C_{\mathbb{K}}$, C_B , $C_{\mathbb{F}}$ be constant rings, resp.

Corollary

Then $C_B = C_{\mathbb{F}}$, and $C_B/C_{\mathbb{K}}$ is algebraic field extension.

In Noetherian case

Let $f: A \rightarrow B$ be a Δ -homomorphism of Ritt algebras. And B is Δ -FG over A .

Suppose, additionally, that all spectra are **Noetherian**.

Denote $X = \text{Spec}^\Delta A$, $Y = \text{Spec}^\Delta B$.

Consider the properties of the map $f_\Delta^*: Y \rightarrow X$.

In Noetherian case

Let $f: A \rightarrow B$ be a Δ -homomorphism of Ritt algebras. And B is Δ -FG over A .

Suppose, additionally, that all spectra are **Noetherian**.

Denote $X = \text{Spec}^\Delta A$, $Y = \text{Spec}^\Delta B$.

Consider the properties of the map $f_\Delta^*: Y \rightarrow X$.

Lemma

Let $E \subseteq Y$ be constructible. Then $f_\Delta^(E)$ is constructible.*

In Noetherian case

Let $f: A \rightarrow B$ be a Δ -homomorphism of Ritt algebras. And B is Δ -FG over A .

Suppose, additionally, that all spectra are **Noetherian**.

Denote $X = \text{Spec}^\Delta A$, $Y = \text{Spec}^\Delta B$.

Consider the properties of the map $f_\Delta^*: Y \rightarrow X$.

Lemma

Let $E \subseteq Y$ be constructible. Then $f_\Delta^(E)$ is constructible.*

Lemma

- *The map f has GUP for Δ -deals = f_Δ^* is closed map.*
- *The map f has GDP for Δ -deals = f_Δ^* is open map.*

Theorem

Let $A \subseteq B$ be Ritt algebras. Let

- 1 $B = A\{x_1, \dots, x_n\}$ be Δ -FG over A ;
- 2 B be integral domain.

Then $\exists s \in B$ such that the map $\text{Spec}^\Delta B_s \rightarrow \text{Spec}^\Delta A$ has GDP.

Theorem

Let $A \subseteq B$ be Ritt algebras. Let

- 1 $B = A\{x_1, \dots, x_n\}$ be Δ -FG over A ;
- 2 B be integral domain.

Then $\exists s \in B$ such that the map $\text{Spec}^\Delta B_s \rightarrow \text{Spec}^\Delta A$ has GDP.

Corollary

Let $A \subseteq B$ be Ritt algebras. Let

- 1 $B = A\{x_1, \dots, x_n\}$ be Δ -FG over A ;
- 2 $\text{Spec}^\Delta A$ be Noetherian;
- 3 B be integral domain.

Then $\exists s \in B$ such that the map $\text{Spec}^\Delta B_s \rightarrow \text{Spec}^\Delta A$ is open.

“Good” very dense subset of prime Δ -spectrum

Let A be Δ -FG over a field \mathbb{K} with $\text{char } \mathbb{K} = 0$.

“Good” very dense subset of prime Δ -spectrum

Let A be Δ -FG over a field \mathbb{K} with $\text{char } \mathbb{K} = 0$.

Definition

$$X := \{\mathfrak{p} \in \text{Spec}^\Delta A \mid \exists s \in A : (A/\mathfrak{p})_s \text{ is a simple } \Delta\text{-ring}\}$$

“Good” very dense subset of prime Δ -spectrum

Let A be Δ -FG over a field \mathbb{K} with $\text{char } \mathbb{K} = 0$.

Definition

$$X := \{\mathfrak{p} \in \text{Spec}^\Delta A \mid \exists s \in A : (A/\mathfrak{p})_s \text{ is a simple } \Delta\text{-ring}\}$$

Lemma

The set X is very dense subset of $\text{Spec}^\Delta A$.

“Good” very dense subset of prime Δ -spectrum

Let A be Δ -FG over a field \mathbb{K} with $\text{char } \mathbb{K} = 0$.

Definition

$$X := \{\mathfrak{p} \in \text{Spec}^\Delta A \mid \exists s \in A : (A/\mathfrak{p})_s \text{ is a simple } \Delta\text{-ring}\}$$

Lemma

The set X is very dense subset of $\text{Spec}^\Delta A$.

Let $f: A \rightarrow B$ be a Δ -homomorphism of Δ -FG algebras over the field \mathbb{K} . Denote $Y := \{\mathfrak{p} \in \text{Spec}^\Delta B \mid \exists s \in B : (B/\mathfrak{p})_s \text{ is a simple } \Delta\text{-ring}\}$.

“Good” very dense subset of prime Δ -spectrum

Let A be Δ -FG over a field \mathbb{K} with $\text{char } \mathbb{K} = 0$.

Definition

$$X := \{\mathfrak{p} \in \text{Spec}^\Delta A \mid \exists s \in A : (A/\mathfrak{p})_s \text{ is a simple } \Delta\text{-ring}\}$$

Lemma

The set X is very dense subset of $\text{Spec}^\Delta A$.

Let $f: A \rightarrow B$ be a Δ -homomorphism of Δ -FG algebras over the field \mathbb{K} . Denote $Y := \{\mathfrak{p} \in \text{Spec}^\Delta B \mid \exists s \in B : (B/\mathfrak{p})_s \text{ is a simple } \Delta\text{-ring}\}$.

Lemma

The map $f^: Y \rightarrow X$ is well defined.*

Lemma

The set X is the smallest subset of $\text{Spec}^\Delta A$ such that

- *$\forall B$ is Δ -FG over field \mathbb{K} , and*
- *$\forall f: A \rightarrow B$ is a Δ -homomorphism,*

we have $f^(\text{Max}^\Delta B) \subseteq X$.*

Lemma

The set X is the smallest subset of $\text{Spec}^\Delta A$ such that

- $\forall B$ is Δ -FG over field \mathbb{K} , and
- $\forall f: A \rightarrow B$ is a Δ -homomorphism,

we have $f^*(\text{Max}^\Delta B) \subseteq X$.

Lemma

Let $f: A \rightarrow B$ be a Δ -homomorphism. Then

- 1 f has GUP for Δ -ideals $\implies f^*$ is closed map from Y to X ;
- 2 f has GDP for Δ -ideals $\implies f^*$ is open map from Y to X ;
- 3 E is constructible $\implies f^*(E)$ is constructible.

Remark

Consider any field \mathbb{F} of characteristic zero with the property:

For any algebra B such that

$$\left. \begin{array}{l} B \text{ is } \Delta\text{-FG over } \mathbb{F} \\ B \text{ is a simple } \Delta\text{-ring} \end{array} \right\} \implies B = \mathbb{F}.$$

Remark

Consider any field \mathbb{F} of characteristic zero with the property:

For any algebra B such that

$$\left. \begin{array}{l} B \text{ is } \Delta\text{-FG over } \mathbb{F} \\ B \text{ is a simple } \Delta\text{-ring} \end{array} \right\} \implies B = \mathbb{F}.$$

Example

Universal field extension \mathcal{U} of any Δ -field \mathbb{K} (Kolchin).

Remark

Consider any field \mathbb{F} of characteristic zero with the property:

For any algebra B such that

$$\left. \begin{array}{l} B \text{ is } \Delta\text{-FG over } \mathbb{F} \\ B \text{ is a simple } \Delta\text{-ring} \end{array} \right\} \implies B = \mathbb{F}.$$

Example

Universal field extension \mathcal{U} of any Δ -field \mathbb{K} (Kolchin).

Let $A = \mathbb{F}\{y_1, \dots, y_n\}/\mathfrak{b}$.

Remark

Consider any field \mathbb{F} of characteristic zero with the property:
For any algebra B such that

$$\left. \begin{array}{l} B \text{ is } \Delta\text{-FG over } \mathbb{F} \\ B \text{ is a simple } \Delta\text{-ring} \end{array} \right\} \implies B = \mathbb{F}.$$

Example

Universal field extension \mathcal{U} of any Δ -field \mathbb{K} (Kolchin).

Let $A = \mathbb{F}\{y_1, \dots, y_n\}/\mathfrak{b}$.

The following sets coincide:

- $\{x \in \mathbb{F}^n \mid \forall f \in \mathfrak{b} \Rightarrow f(x) = 0\}$;
- $\text{Max}^\Delta A = \{\mathfrak{p} \in \text{Spec}^\Delta A \mid A/\mathfrak{p} \text{ is a simple } \Delta\text{-ring}\}$;
- $\{\mathfrak{p} \in \text{Spec}^\Delta A \mid \exists s \in A : (A/\mathfrak{p})_s \text{ is a simple } \Delta\text{-ring}\}$.



M. F. Atiyah, I. G. Macdonald.
Introduction to Commutative Algebra.
Addison-Wesley, Reading, Mass, 1969.



I. Kaplansky.
An introduction to Differential Algebra.
Hermann, Paris, 1957.



E. R. Kolchin.
Differential Algebra and Algebraic Groups.
Academic Press, New York, 1976.



J. F. Ritt.
Differential Algebra.
volume 33 of American Mathematical Society Colloquium Publication. Lectures notes in computer science American Mathematical Society, New York, 1950.



D. V. Trushin.
The ideal of separants in the ring of differential polynomials.
Fundamental and applied mathematics, vol. 13, num. 1, 2007, pp. 215-227.